



STROUD DISTRICT COUNCIL

Council Offices • Ebley Mill • Ebley Wharf • Stroud • GL5 4UB

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06 April 2023

AUDIT AND STANDARDS COMMITTEE

A meeting of the Audit and Standards Committee will be held on **TUESDAY, 18 APRIL 2023** in the Council Chamber, Ebley Mill, Ebley Wharf, Stroud at **7.00 pm**

Kathy O'Leary
Chief Executive

Please Note: The meeting is being held in the Council Chamber at Stroud District Council and will be streamed live on the Council's [YouTube Channel](#). A recording of the meeting will be published onto the [Council's website](#). The whole of the meeting will be recorded except where there are confidential or exempt items, which may need to be considered in the absence of press and public.

If you wish to attend this meeting, please contact democratic.services@stroud.gov.uk.
This is to ensure adequate seating is available in the Council Chamber.

AGENDA

1. **APOLOGIES**
To receive apologies for absence.
2. **DECLARATION OF INTERESTS**
To receive declarations of interest.
3. **MINUTES (Pages 3 - 20)**
To approve the minutes of the meeting held on 29 November 2022 and 7 February 2023 and the Exempt minutes of the 7 February 2023.
4. **PUBLIC QUESTIONS**
The Chair of the Committee will answer questions from members of the public submitted in accordance with the Council's procedures.

DEADLINE FOR RECEIPT OF QUESTIONS

Noon on Wednesday, 12 April 2023

Questions must be submitted to the Chief Executive, Democratic Services,
Ebley Mill, Ebley Wharf, Stroud and can be sent by email to

Democratic.services@stroud.gov.uk

5. **MEMBER QUESTIONS**
See Agenda Item 4 for deadlines for submission.

6. **INFORMATION SHEETS**
 - (a) Planning Enforcement (Pages 21 - 22)
 - (b) Air Source Heat Pumps (Pages 23 - 24)

7. **REVISED COMPLAINTS & FEEDBACK POLICY (Pages 25 - 58)**
To approve the revised Complaints & Feedback Policy.

8. **REVIEW OF THE RISK MANAGEMENT POLICY STATEMENT AND STRATEGY (Pages 59 - 70)**
To consider the updated Risk Management Policy Statement and Strategy.

9. **COUNTER FRAUD AND ENFORCEMENT UNIT REPORT AND REGULATION OF INVESTIGATORY POWERS ACT (RIPA) 2000 / INVESTIGATORY POWERS ACT (IPA) 2016 UPDATE (Pages 71 - 76)**
To provide the Audit and Standards Committee with assurance over the counter fraud activities of the Council in relation to the work undertaken by the Counter Fraud and Enforcement Unit.

The report also provides the Audit and Standards Committee with the updates in relation to the work streams associated with the Regulation of Investigatory Powers Act 2000 (RIPA), the Investigatory Powers Act 2016 (IPA) and the Council's existing authorisation arrangements.

10. **TREASURY MANAGEMENT REPORT QUARTER 3 (Pages 77 - 88)**
To provide an update on treasury management activity as at 31/12/2022.

11. **DRAFT INTERNAL AUDIT PLAN 2023-24 (Pages 89 - 98)**
To provide the Committee with a summary of the draft Risk Based Internal Audit Plan 2023-24, as required by the Accounts and Audit Regulations 2015 and the Public Sector Internal Audit Standards (PSIAS) 2017.

12. **STANDING ITEMS**
 - (a) Corporate Risk Register Update (Pages 99 - 106)
 - (b) To consider the Work Programme (Pages 107 - 108)

13. **INTERNAL AUDIT ACTIVITY PROGRESS REPORT 2022-23 (Pages 109 - 122)**
To inform Members of the Internal Audit activity progress in relation to the approved Internal Audit Plan 2022-23.

Members of Audit and Standards Committee

<p>Councillor Nigel Studdert-Kennedy (Chair) Councillor Paula Baker Councillor Stephen Davies Councillor Nick Hurst Councillor Norman Kay</p>	<p>Councillor Martin Pearcy (Vice-Chair) Councillor Keith Pearson Councillor Ashley Smith Councillor Rich Wilsher</p>
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